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## Electronic specific-heat anomalies in high- $T_c$ cuprates

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# ELECTRONIC SPECIFIC-HEAT ANOMALIES IN HIGH- $T_c$ CUPRATES

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## Abstract

In this work, we study the electronic specific heat  $C_e(T)$  of underdoped to overdoped high- $T_c$  cuprates, and identify the nature of anomalies in  $C_e(T)$  at the superconducting transition temperature  $T_c$  and at temperatures above  $T_c$ . The doped cuprate superconductor is considered as a multi-carrier model system which is composed of different types of charge carriers. The normal-state electronic specific heat  $C_n(T)$  of high- $T_c$  cuprates below a characteristic pseudogap (PG) temperature  $T^*$  is calculated taking into account three contributions coming from the excited components of Cooper pairs, the ideal Bose-gas of incoherent Cooper pairs and the unpaired carriers in the impurity band. Above  $T^*$ , two contributions to  $C_n(T)$  coming from the unpaired intrinsic and extrinsic polarons are calculated within the two-component degenerate Fermi-gas model. The total electronic specific heat  $C_e(T) = C_n(T) + C_s(T)$  below  $T_c$  is calculated by considering the contribution  $C_n(T)$  and the contribution  $C_s(T)$  coming from the superfluid bosonic carriers. We have shown that our theoretical predictions of the behaviors of  $C_e(T)$  near  $T_c$  and above  $T_c$  are strikingly similar to the behaviors of the electronic specific heat observed below and above  $T_c$  in LSCO and YBCO. There is fair quantitative agreement between theoretical predictions about the anomalies in  $C_e(T)$  (i.e. a  $\lambda$ -like anomaly near  $T_c$  and a BCS-type anomaly above  $T_c$  near  $T^*$ ) and experimental data.

**Keywords:** cuprate superconductors, polaronic carriers, electronic specific heat properties,  $\lambda$ -like and BCS-like anomalies, pseudogap and impurity effects.

**Physics and Astronomy Classification Scheme:** 74.00.00

## Introduction

The electronic properties of high- $T_c$  cuprate superconductors are fundamentally different from those of conventional superconductors. The normal and superconducting (SC) properties of underdoped to overdoped cuprates are unusual in respect to the behaviors of standard Landau and BCS Fermi liquids [1,2,3,4,5]. Various anomalies observed in the normal state of these materials are thought to arise from a pseudogap (PG) state that, depending on the doping level, is realized below a characteristic temperature  $T^* > T_c$  [2,3,4,5]. Understanding of the PG phenomena in underdoped to overdoped cuprates is believed to be the key to the elucidation of the mechanism of high- $T_c$  superconductivity. The origin of the PG state in the cuprates is still controversial.

The important normal state properties of high- $T_c$  cuprates closely related to the mechanism of superconductivity are their thermal properties, which are significantly

different from those of conventional superconductors both in the SC state and in the normal state [2,5,6,7,8,9,10]. Measurements of the electronic specific heat  $C_e(T)$  in  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$  (LSCO) and  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  (YBCO) showed a clear  $\lambda$ -like anomaly at  $T_c$  [6,7,10], more or less pronounced BCS-type anomalies somewhat above  $T_c$  or even well above  $T_c$  [6,7,10] and a linear term at low temperatures [11]. It seems more likely that the linear term in the specific heat of cuprate superconductors is not intrinsic property of the SC state in high- $T_c$  cuprates, but due to the presence of some impurity phases [12]. In addition to a clear  $\lambda$ -like anomaly at  $T_c$ , the other anomalous feature is the presence of jump-like anomalies above  $T_c$  in the specific heat spectrum of high- $T_c$  cuprates [9]. It was assumed [6,11] that the BCS-type anomaly in the normal-state electronic specific heat  $C_n(T)$  of the cuprate superconductors is manifested above  $T_c$ . Nevertheless, some researchers attributed the observed specific heat jump in high- $T_c$  cuprates above  $T_c$  to some kind of phase transition other than the BCS-type phase transition or just simply ignored it. It is often misinterpreted that the  $\lambda$ -like anomaly in  $C_n(T)$  at  $T_c$  is the BCS-type jump of the specific heat. Actually, a  $\lambda$ -like SC transition in cuprate superconductors occurring at  $T_c$  is quite different from a BCS-type transition corresponding to the formation of Cooper pairs above  $T_c$ . Various theoretical models have been proposed to explain the puzzling features of high- $T_c$  cuprates (see, e.g., Refs. [2,6,7,9,10,11,12,13]). But in judging the relevance of these approaches to the problem of high- $T_c$  cuprates, one should consider their compatibility with the observed specific heat properties of underdoped to overdoped cuprates. Many of the existing theories are not capable of accounting for the full body of experimental data. So far, the origins of the electronic specific-heat anomalies in high- $T_c$  cuprates have not been fully understood. Many experiments indicate (see Refs. [14,15,16]) that unconventional electron-phonon interactions (including polaronic effects) may be involved in the PG phenomenon and high- $T_c$  superconductivity in the cuprates. Another distinctive property of cuprate superconductors is that they are doped materials and the expected jumps of  $C_e(T)$  at the  $\lambda$ -like SC transition near  $T_c$  and of  $C_n(T)$  at transitions near  $T^*$  may be strongly influenced by the impurity phase and electronic inhomogeneities. Therefore, there is considerable motivation to explore the effects of these phase transitions on the electronic specific heat of underdoped to overdoped cuprates taking into account possible charge inhomogeneity and impurity effects.

In this work, we study the specific heat properties of high- $T_c$  cuprates in the normal and SC states. We argue that the relevant charge carriers in doped cuprates are large polarons residing in the polaronic and impurity bands. We describe the underlying pairing mechanism leading to the formation of the BCS-like PG in high- $T_c$  cuprates. The normal-state specific heat  $C_n(T)$  of the LSCO and YBCO compounds below the PG formation temperature  $T^*$  is calculated by considering three contributions from the excited components of polaronic Cooper pairs, the ideal Bose-gas of incoherent (non-SC) Cooper pairs and the unpaired carriers bound to impurities. The total electronic specific heat  $C_e(T)$  below  $T_c$  is calculated by considering the contribution  $C_s(T)$  coming from the superfluid (SF) bosonic carrier (polaronic Cooper pairs) and the contribution  $C_n(T)$ . Numerical results obtained for  $C_n(T)$  above  $T_c$  and for

$C_e(T)$  below  $T_c$  are compared with experimental data. For all cases considered, good quantitative agreement is found between theory and experiment.

## 1 Polaronic carriers, impurity centers and inherent electronic inhomogeneities

The strong electron correlations play a dominating role only in undoped cuprates and drive these systems into a charge-transfer (CT)-type Mott insulating state [17,18]. Upon hole doping, the oxygen valence band of these anisotropic three-dimensional cuprates is occupied by free holes. As the doping level is increased towards the underdoped level, the doped cuprates become unusual metals (above  $T_c$ ) or superconductors (below  $T_c$ ) and the importance of electron correlations diminishes [18,19,20] and other factors will dominate in these systems. The high- $T_c$  cuprates are doped polar materials where the electron-phonon interactions are expected to be sufficiently strong and unconventional. The strong electron-phonon interactions in these materials are responsible for the self-trapping and pairing of charge carriers. Actually, in the intermediate and strong electron-phonon coupling regimes, the charge carriers are dressed by the static lattice distortions (i.e. static phonons) and these dressed carriers are polarons [21,22].

The polaronic nature of charge carriers is believed to be very important for the understanding of the anomalous electronic properties of doped high- $T_c$  cuprates. Further, the inhomogeneous nature of these materials is another important feature and the intrinsic inhomogeneities of the charge carrier distribution are responsible for the charge segregation, which may manifest itself via local phase separation [18,20]. In cuprates the doping process inevitably introduces disorder (i.e. inhomogeneity) in the spatial distribution of dopants and charge carriers. It seems likely that the inhomogeneous spatial distribution of doped charge carriers occurs over the main  $\text{CuO}_2$  layers (with nonzero thickness) and the impurity centers between these  $\text{CuO}_2$  layers. It turns out that the carrier concentration is slightly higher in the outer  $\text{CuO}_2$  layers than in the inner one and the hole distribution in some layered cuprates was highly inhomogeneous with only  $\sim 10\%$  of holes in the inner  $\text{CuO}_2$  layers (see Ref. [23]). However, the disbalance in the distribution of doped carriers among two different regions, namely, impurity-free regions (e.g.,  $\text{CuO}_2$  layers) and impurity sites may be opposite, depending on the material and doping levels. The charge carriers being placed in a polar crystal will interact both with lattice vibrations (i.e., acoustic and optical phonons) and lattice defects (e.g., dopants and impurities). Therefore, the ground states of the doped hole carriers are their intrinsic and extrinsic self-trapped (polaronic) states lying in the CT gap of the cuprates [20]. Experimental [14,22,23,24] studies show that the electron-phonon interaction is responsible for the enhanced polaron masses  $m_p = (2 - 3)m_e$  [14,24,25] (where  $m_e$  is the free electron mass). At some doping levels the intrinsic and extrinsic large polarons can be considered as a two-component degenerate Fermi-gas. For these polaronic carriers, the degeneracy conditions  $W_p \gg k_B T$  and  $W_I \gg k_B T$  are well satisfied, where  $W_p$  and  $W_I$  are

the band widths of intrinsic and extrinsic polarons, respectively. The normal state Cooper pairing of large intrinsic polarons is expected in the intermediate coupling regime and the formation of incoherent bosonic Cooper pairs becomes possible at  $T^* > T_c$  in the  $\text{CuO}_2$  layers of the cuprates. It is natural to believe that the relevant charge carriers in doped cuprates are large intrinsic polarons and preformed bosonic Cooper pairs in the  $\text{CuO}_2$  layers and large extrinsic polarons lying between the  $\text{CuO}_2$  layers. In fact, the doped cuprates may be viewed as a mixture of different phases and the electronic properties of these compounds are better described in terms of a multi-carrier model. One can expect that the BCS-like pairing PG, impurity phase and electronic inhomogeneity strongly affect the normal-state electronic specific heat properties of underdoped to overdoped cuprates.

## 2 The BCS-type Cooper pairing of carriers above $T_c$

It is believed that the high- $T_c$  cuprates fall in strong and intermediate electron-phonon coupling regimes, where the polaronic effects seem to be important and the standard BCS pairing theory describing the formation of Cooper pairs at the SC transition temperature  $T_c$  does not work. Therefore, the BCS pairing theory should be modified to include polaronic effects. Actually, the BCS-based theory can be also extended to the cases of the interacting polaronic Fermi gases. In these cases, the BCS-type Cooper pairing of polaronic carriers (i.e. the carriers dressed by the static lattice distortions within the  $\text{CuO}_2$  layers in the intermediate coupling regime) may occur in the  $\text{CuO}_2$  layers (with nonzero thickness) at a temperature  $T^*$  higher than the  $T_c$  at which the preformed bosonic Cooper pairs condense into a SF (or SC) Bose-liquid state and the new SC order parameter appears [26,27]. The strongly polarizable lattice of the cuprates may provide a more effective pairing mechanism than the interaction of the conduction electrons with phonons in ordinary metals. In these systems, the new situation arises when the polaronic effects exist and the attractive interaction mechanism (e.g. due to exchange of static and dynamic phonons) between the polaronic carriers operating in the energy range  $\{-(E_p + \hbar\omega_0), (E_p + \hbar\omega_0)\}$  is more effective than in the simple BCS picture, where  $E_p$  is the binding energy of a large polaron,  $\hbar\omega_0$  is the energy of high-frequency optical phonons.

In the case of high- $T_c$  cuprates, the unusual form of BCS theory [26,28] can describe the Cooper-like pairing of large polarons above  $T_c$  naturally. The BCS formalism, extended towards the intermediate electron-phonon coupling regime, leads to the following equation for determining the BCS-like gap or PG,  $\Delta^*$  and the PG formation temperature  $T^*$ :

$$\frac{1}{\lambda^*} = \int_0^{\varepsilon_A} \frac{d\varepsilon}{\sqrt{\varepsilon^2 + \Delta^{*2}(T)}} \tanh \frac{\sqrt{\varepsilon^2 + \Delta^{*2}(T)}}{2k_B T}, \quad (1)$$

where  $\lambda^* = D_p(\varepsilon_F)\tilde{V}_p$  is the effective BCS-like coupling constant,  $D_p(\varepsilon_F) = 1/\varepsilon_F$  is the density of states (DOS) at the polaronic Fermi level for one spin orientation,

$\varepsilon$  is the energy of large polarons measured from the polaronic Fermi energy  $\varepsilon_F = \hbar^2(3\pi^2 n_p)^{2/3}/2m_p$ ,  $n_p = N_p/\Omega$  is the concentration of intrinsic polarons in the  $\text{CuO}_2$  layers,  $N_p$  is the number of such polarons,  $\Omega$  is the crystal volume,  $\tilde{V}_p = V_{ph} - \tilde{V}_c$  is the effective polaron-polaron interaction potential,  $V_{ph}$  is the phonon-mediated interaction potential between two polarons,  $\tilde{V}_c = V_c/[1 + D_p(\varepsilon_F)V_c \ln(\varepsilon_c/\varepsilon_A)]$  is the screened Coulomb interaction potential,  $V_c$  is a bare Coulomb potential,  $\varepsilon_A = E_p + \hbar\omega_0$  is the cutoff parameter for the unusual attractive electron-phonon interaction.

At  $T = T^*$  and  $\varepsilon_c > \varepsilon_A > 7k_B T^*$ , we obtain from (1)

$$k_B T^* \simeq 1.134(E_p + \hbar\omega_0) \exp\left[-\frac{1}{\lambda^*}\right]. \quad (2)$$

We see that the usual BCS picture ( $T_c = T^*$ ) as the particular case is recovered in overdoped cuprates where the polaronic effect disappears ( $E_p = 0$ ) and the prefactor in Eq. (2) is replaced by  $\hbar\omega_0$ .

At  $E_p \neq 0$  the polaronic effects control the essential physics of underdoped to overdoped cuprates, which are bosonic (i.e. non-BCS) superconductors. The BCS-like pairing PG  $\Delta^*$  gradually decreases with temperature and disappears at  $T = T^* > T_c$ . Therefore, in high- $T_c$  cuprates containing dopants (impurities), the second-order phase transition at  $T^*$  should be manifested as a more or less BCS-type jump in  $C_n(T)$ . In the unusual BCS-like pairing picture, the disappearance of polaronic Cooper pairs does not occur at  $T_c$  as it would for conventional superconductors where  $T^* = T_c$ . The underdoped, optimally doped and moderately overdoped cuprates are actually non-BCS superconductors, where the separation between the two temperatures  $T^*$  (the onset of the BCS-like transition) and  $T_c$  (the onset of the  $\lambda$ -like SC transition) occurs due to the polaronic effects.

### 3 The normal-state electronic specific heat above and below the pseudogap formation temperature $T^*$

Charge carriers are inhomogeneously distributed in doped cuprates and possible inhomogeneities in the charge carrier distribution might be responsible for the formation of the intrinsic and extrinsic large polarons in the impurity-free regions and near the impurities. It seems likely that in underdoped and optimally doped cuprates some part of doped carriers can easily be self-trapped near the dopants (impurities) with the formation of large polarons bound to impurities and other doped carriers are self-trapped in a deformable lattice with the formation of intrinsic large polarons. Such competitive self-trapping processes lead to the redistribution of polaronic carriers among impurity-free regions and impurity sites. We believe that in the unusual metallic state of high- $T_c$  cuprates the BCS-type Cooper pairing of large polarons would occur in the polaronic band below  $T^*$ , whereas the large polarons localized near the impurities may remain unpaired. Apparently, the contributions to  $C_n(T)$

above  $T^*$ , come from these two types of large polarons and the normal-state electronic specific heat is given by

$$C_n(T > T^*) = (\gamma_1 + \gamma_2)T, \quad (3)$$

where  $\gamma_i = 2\pi^2 D_p(\varepsilon_{Fi}) k_B^2 / 3 = (\pi^2 / 3) k_B^2 g(\varepsilon_{Fi})$  ( $i=1$  or  $2$ ),  $g(\varepsilon_{Fi}) = 3N_i / 2\varepsilon_{Fi} = 3N f_i / 2\varepsilon_{Fi}$  is the density of states at the Fermi level  $\varepsilon_{Fi}$  (including both spin orientations),  $N_i$  is the number of the  $i$ -th type of large polarons,  $N = N_1 + N_2$  is the total number of polaronic carriers in the system ( $N_1 = N_p$ ,  $N_2$  is the number of large polarons residing in the impurity band),  $f_i = N_i / N$  is the fraction of the  $i$ -th type of large polaronic carriers. For doped cuprates, the coefficient of the linear term in  $C_e(T > T^*)$  is defined as

$$\gamma_e = \gamma_1 + \gamma_2 = \left(\frac{\pi^2}{2}\right) k_B^2 x N_A \left(\frac{f_1}{\varepsilon_{F1}} + \frac{f_2}{\varepsilon_{F2}}\right), \quad (4)$$

where the number of  $\text{CuO}_2$  formula unit (or the host lattice atoms) per unit molar volume is equal to the Avogadro number  $N_A = 6.02 \times 10^{23} \text{mole}^{-1}$ ,  $x = N / N_A$  is the dimensionless carrier concentration or doping level,  $k_B N_A = 8.314 \text{J/moleK}$ . The important parameters that describe the real experimental situation and the quantitative behavior of  $C_n(T)$  in doped high- $T_c$  cuprate superconductors are  $\varepsilon_{Fi}$  and  $f_i$ . Let us estimate the values of  $\gamma_e$  for LSCO and YBCO. Using the specific values of  $\varepsilon_{Fi}$  and  $f_i$  in the polaronic band ( $\varepsilon_{F1} \simeq 0.15 \text{ eV}$ ,  $f_1 = 0.6$ ) and impurity band ( $\varepsilon_{F2} = 0.06 \text{ eV}$ ,  $f_2 = 0.4$ ), we obtain  $\gamma_e \simeq 5.67 \text{mJ/moleK}^2$  at  $x = 0.1$  for LSCO. The experiment value of  $\gamma_e$  lies in the range  $(4.9 - 7.3) \text{mJ/moleK}^2$  [29]. For  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ , the doping level can be determined from the relation [30]

$$x(\delta) = \begin{cases} (1 - \delta)^3 & \text{for } 0 \leq 1 - \delta \leq 0.5, \\ (0.5 - \delta)^3 + 0.125 & \text{for } 0.5 < 1 - \delta \leq 1 \end{cases} \quad (5)$$

from which it follows that  $x(\delta = 0.115) \simeq 0.182$ . By taking  $\varepsilon_{F1} = 0.20 \text{ eV}$ ,  $\varepsilon_{F2} = 0.1 \text{ eV}$ ,  $f_1 = 0.6$  and  $f_2 = 0.4$  for YBCO, we find  $\gamma_e \simeq 4.65 \text{mJ/moleK}^2$ . This value of  $\gamma_e$  is well consistent with the experimental data  $\gamma_e \simeq 4.3 - 4.9 \text{mJ/moleK}^2$  [31]

Below  $T^*$ , three contributions to  $C_n(T)$  come from: (i) the Bogoliubov-like quasiparticles appearing at the dissociation (excitation) of Cooper pairs in the polaronic band, (ii) the unpaired polarons in the impurity band, and (iii) the ideal Bose-gas of incoherent Cooper pairs. The contribution to  $C_n(T)$  coming from the Bogoliubov-like quasiparticles is determined from the relation.

$$C_{n1}(T < T^*) = \frac{g(\varepsilon_{F1})}{k_B T^2} \int_0^{\varepsilon_A} f(E) (1 - f(E)) \left[ E^2(\varepsilon) - \frac{T}{2} \frac{d\Delta^{*2}(T)}{dT} \right] d\varepsilon, \quad (6)$$

where  $g(\varepsilon_{F1}) = 3N_A x f_1 / 2\varepsilon_{F1}$ ,  $f(E) = [e^{E/k_B T} + 1]^{-1}$ ,  $E(\varepsilon) = \sqrt{\varepsilon^2 + \Delta^{*2}(T)}$ .

The energy of an ideal Bose-gas below the BEC temperature  $T_{BEC}$  is given by [32]

$$U = 0.77 N_c k_B T \left( \frac{T}{T_{BEC}} \right)^{3/2}, \quad (7)$$

where  $N_c$  is the number of Bose particles. The specific heat of such a Bose-gas of incoherent Cooper pairs is determined from the relation

$$C_{n3}(T < T^*) = \frac{dU}{dT} = 1.925k_B N_c \left( \frac{T}{T_{BEC}} \right)^{3/2}. \quad (8)$$

Then the total electronic specific heat below  $T^*$  is given by

$$C_n(T < T^*) = C_{n1}(T) + C_{n2}(T) + C_{n3}(T), \quad (9)$$

where  $C_{n2}(T < T^*) = (\pi^2/3)k_B^2 g(\varepsilon_{F2})T$ ,  $g(\varepsilon_{F2}) = 3N_A x f_2 / 2\varepsilon_{F2}$ .

The BCS-like pairing PG,  $\Delta^*(T)$  and PG temperature,  $T^*$  are determined from Eq. (1). Such a normal-state PG somewhat below  $T^*$  is determined by the formula

$$\Delta^*(T) \simeq 3.06k_B T^* \sqrt{1 - \frac{T}{T^*}}, \quad (10)$$

which is better approximation in the temperature region  $0.75T^* < T \leq T^*$ . The number of incoherent Cooper pairs  $n_c$  and their BEC temperature are determined from the relations

$$n_c = \frac{1}{4} g(\varepsilon_{Fp}) \int_{-\varepsilon_A}^{\varepsilon_A} \left[ 1 - \frac{\varepsilon}{E} \right] \frac{e^{E/k_B T}}{e^{E/k_B T} + 1} d\varepsilon, \quad (11)$$

and

$$T_{BEC} = \frac{3.31\hbar^2 n_c^{2/3}}{k_B m_c}, \quad (12)$$

where  $m_c = 2m_p$  is the mass of polaronic Cooper pairs,  $\varepsilon_{F1} > \varepsilon_A \gtrsim 0.1eV$ ,  $m_p \simeq 2m_e$  [14].

Numerical calculations of  $n_c$  and  $T_{BEC}$  show that just below  $T^*$  the value of  $T_{BEC}$  is very close to  $T^*$  (i.e.,  $T_{BEC} \geq T^*$ ), but somewhat below  $T^*$ ,  $T_{BEC} \gg T^*$ . The calculated results for  $C_n(T \leq T^*)$  and  $C_n(T > T^*)$  depend sensitively on details of the distribution of polaronic carriers between the polaronic band and the impurity band through the variation of both  $\varepsilon_{F2}$  and  $f_2$ . Actually, the behavior of  $C_n(T)$  is sensitive to the choice of the parameters  $\varepsilon_{F2}$ ,  $f_2$ ,  $x$  and self-consistent calculations which take into account changes in the distribution of charge carriers between the polaronic band and the impurity band should be used in comparing with experiment. For high- $T_c$  cuprates, the observed temperature dependences of  $C_n$  and  $C_n/T$  can be obtained by a more appropriate choice and a careful examining of the fitting parameters. Such a fit is essential for matching the theory with the experiments on  $C_n(T)$  in various high- $T_c$  cuprates. The quantitative features of  $C_n(T)$  at  $T = T^*$  is basically determined by the competition between the PG and impurity effects on  $C_n(T)$ . This competition determines the shape and size of the possible BCS-type jumps of  $C_n(T)$  above  $T_c$  in underdoped to optimally doped high- $T_c$  cuprates. When the BCS-type contribution to  $C_n(T < T^*)$  coming from the excited Fermi-components



of Cooper pairs and from the bosonic Cooper pairs predominates over the contribution coming from the unpaired carriers in the impurity band, the pronounced BCS-type anomaly of  $C_n(T)$  is expected at  $T^*$ . However, the situation changes markedly when the impurity contribution dominates the BCS-type contribution to  $C_n(T)$ . Because the jumps of  $C_n(T)$  above  $T_c$  will be largely modified (i.e. strongly depressed) by the relatively large impurity contribution to the  $C_n(T)$  and become weakly or less pronounced BCS-type anomalies, as observed in experiments [5,6,7,10]. Theoretical results obtained for  $C_n(T \leq T^*)$ , which are compared with the experimental data on the electronic specific heat of LSCO, are presented in Fig. 1 for the temperature region  $0.8T^* < T \leq 1.15T^*$ .

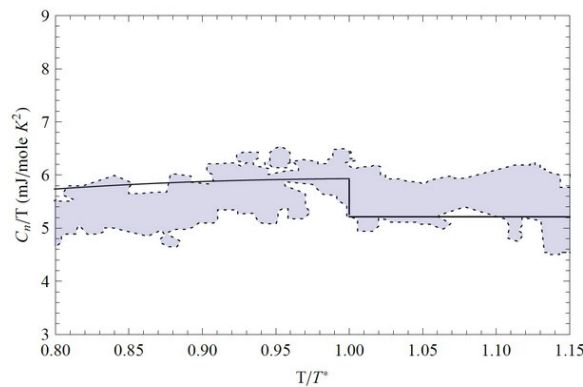


Figure 1. Electronic specific heat of LSCO with doping level  $x = 0.10$  (solid line) calculated as a function of the reduced temperature  $T/T^*$  near  $T^* = 98K$  using the fitting parameters  $\varepsilon_{F1} \simeq 0.1665$  eV,  $\varepsilon_{F2} \simeq 0.0373$  eV,  $f_1 = 0.42$ ,  $f_2 = 0.58$  and compared with experimental data for LSCO with doping level  $x = 0.10$  (dotted line) [10].

One can see that the observed behaviors of  $C_n(T)$  above  $T_c$  are similar to the calculated results for  $C_n(T)$  at  $T \leq T^*$  and  $T > T^*$ , as indicated by Fig. 1 (solid line). The smeared regions between dotted lines in Fig. 1 show that the spread in measured values of  $C_n(T)$  are large enough. Nevertheless, there are more or less pronounced BCS-type jumps in  $C_n(T)$  (see Fig. 1) close to  $T^*$  observed in LSCO above  $T_c$  [6,7,10]. The specific heat anomaly in the 200 – 240K temperature range, observed in an YBCO mono-crystal [7] was ascribed to some cause other than that related to the Cooper-pair formation. Perhaps this normal-state specific heat anomaly observed also near 220K by other authors (see Ref. [9]) in YBCO is a BCS-type anomaly of  $C_n(T)$  near  $T^* \simeq 220K$ . The existence of a phase transition in LSCO at  $T^* \approx 80K$  [5] might be associated with a BCS-type transition at  $T^*$ . While the authors of Ref. [33] argued that there is no such a phase transition in the normal state of high- $T_c$  cuprates. However, according to Ref. [33],  $\gamma_e$  is insensitive to  $T$  above the characteristic PG temperature  $T^*$  and decreases rapidly below  $T^*$  just like in BCS theory.

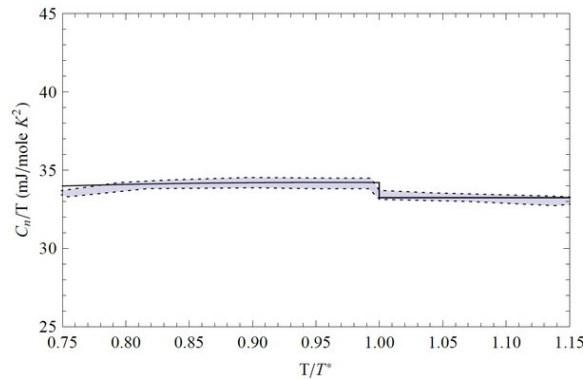


Figure 2. The electronic specific heat coefficient ( $C_n/T$ ) of  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  with doping level  $x = 0.14$  calculated as a function of the reduced temperature  $T/T^*$  near  $T^* = 167$  K using the fitting parameters,  $\varepsilon_{F1} = 0.110\text{eV}$ ,  $\varepsilon_{F2} = 0.0435\text{eV}$ ,  $f_1 = 0.3$ ,  $f_2 = 0.7$  and compared with experimental results for  $\text{YBa}_2\text{Cu}_3\text{O}_{6.73}$  (dotted line) [33].

Thus, considering the possible noises or errors in experiments and large enough spread of experimental points in Figs. 1 and 2, our calculated results for  $C_n(T)$  are in reasonable quantitative agreement with the experimental data on  $C_n(T)$  for LSCO and YBCO. Our fitting curves  $C_n(T)$  and  $C_n(T)/T$  in Figs. 1 and 2 lie within the experimental noises and are therefore acceptable. It should be noted here that in the vicinity of  $T^*$ , the total specific heat is very large due to phonon contributions, and the observation of an anomaly due to the BCS-like PG effect is expected to be difficult, but inspection of the specific heat data of LSCO and YBCO shows that such an effect is clearly present. We argue that the expected BCS-type jumps of the electronic specific heat of high- $T_c$  cuprate superconductors at  $T^* > T_c$  are often buried within the noises and observed as the less pronounced jumps due to the impurity and sample inhomogeneity effects.

## 4 Electronic specific heat anomalies near superconducting transition temperature $T_c$

In high- $T_c$  cuprates the polaronic carriers are bound into bosonic Cooper pairs above  $T_c$  and these Cooper pairs condense into a Bose-liquid state at  $T_c$ . The SC order parameter  $\Delta_{SC}$  appearing below  $T_c$  [27,28] differs from the BCS-like pairing PG. The occurrence of superconductivity in the (bosonic) non-BCS cuprate superconductors is tied to the coherence parameter  $\Delta_B = \Delta_{SC}$ , which defines bond strength of all condensed bosons. Whereas the BCS-like gap (or PG) defines the bond strength of the Fermi components of Cooper pairs and it may exist as the PG. For an interacting Bose gas of Cooper pairs, the chemical potential  $\tilde{\mu}_B (\geq \Delta_B)$  and the coherence parameter  $\Delta_B = \Delta_{SC}$  near  $T_c$  is given by  $\tilde{\mu}_B(T) \simeq \tilde{\mu}_B(T_c)[1 + a(T_c - T)^{0.5}]$  and  $\Delta_B(T) \simeq 2\tilde{\mu}_B(T_c)\sqrt{a}(T_c - T)^{0.25}$  [27], where  $a = 2(c_0\gamma_B T_c)^{-0.5} \times (\varepsilon_{BA}/k_B T_c)^{0.25}$  and  $c_0 = \pi^{3/2}/3.912$ . We now turn to the problem of the specific heat of a SF Bose gas, which diverges as  $C_{SF}(T) \sim (T_c - T)^{-0.5}$  near  $T_c$  (where  $\Delta_B(T) \ll \tilde{\mu}_B(T) \ll k_B T_c$ )

[14] and will exhibit a  $\lambda$ -like anomaly at  $T_c$ , (i.e., the behavior of  $C_{SF}(T)$  is similar to that of SF  $^4\text{He}$ ). The temperature derivatives of  $\tilde{\mu}_B$  and  $\Delta_B$  entering the expression for  $C_{SF}(T)$  give rise to such a  $\lambda$ -like divergence. By introducing the quantity of SF matter  $\nu_B = N_B/N_A$  (where  $N_B$  is the number of attracting bosons (polaronic Cooper pairs) and  $N_A$  is the Avogadro number, which is equal to the number of  $\text{CuO}_2$  formula unit per unit molar volume) and the molar fraction of the SF bosonic carriers defined by  $f_s = \nu_B/\nu$  (where  $\nu = N/N_A$  is the amount of doped matter), we can now write the molar specific heat of the SC Bose-gas in high- $T_c$  cuprates as

$$C_s(T) = f_s \frac{C_{SF}(T)}{\nu_B} = f_s \frac{D_B k_B N_A}{4\rho_B (k_B T)^2} \int_0^{\varepsilon_{BA}} \frac{\sqrt{\varepsilon} d\varepsilon}{\sinh^2 \frac{E_B(\varepsilon)}{k_B T}} \left\{ E_B^2(\varepsilon) + \frac{a\tilde{\mu}_B(T_c)T}{2(T_c - T)^{0.5}} [\varepsilon - \tilde{\mu}_B(T_c)] \right\}. \quad (13)$$

Here we have accounted for that  $\Omega/\nu_B = N_B \nu_B / \nu_B = \nu_B N_A$  and  $\nu_B = 1/\rho_B$ . As mentioned above, the doping carriers in the cuprates are distributed between the polaronic band and the impurity band (with Fermi energy  $\varepsilon_{F2}$ ) and the specific heat  $C_n(T)$  of non-SC carriers below  $T_c$  is also calculated by considering three contributions from the excited components of polaronic Cooper pairs, the ideal Bose-gas of incoherent Cooper pairs and the unpaired carriers bound to impurities [34]. In this case, the fraction  $f_1$  of doping carriers residing in the polaronic band and the other fraction  $f_2$  of such carriers residing in the impurity band should be taken into account in comparing the specific heat  $C_s(T)$  of the SC Bose-gas with experiment.

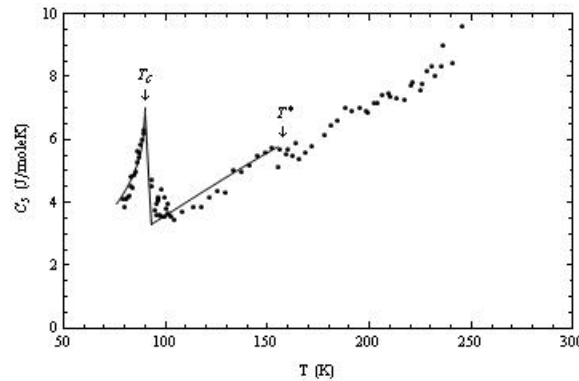


Figure 3. Temperature dependence of the electronic specific heat of  $\text{HoBa}_2\text{Cu}_3\text{O}_{7-\delta}$  measured near  $T_c$  and above  $T_c$  [35]. The solid line is the calculated curve for comparing with experimental points (black circles). According to [34],  $C_s(T)$  is calculated by using the parameters  $\varepsilon_F = 0.12$  eV,  $\varepsilon_{FI} = 0.012$  eV,  $f_p = 0.3$ ,  $f_I = 0.7$ , while SC contribution  $C_s(T)$  to  $C_s(T)$  is calculated by using the parameters  $\rho_B = 1.6 \times 10^{19} \text{cm}^{-3}$ ,  $m_B = 2.5m_p$ ,  $\tilde{\mu}_B(T_c) = 1.6$  meV and  $f_s = 0.03$ .

Thus, the total specific heat  $C_e(T) = C_s(T) + C_n(T)$  of SC and non-SC carriers below  $T_c$  is calculated and compared with the experimental data for  $C_s(T)$  in high- $T_c$  cuprates (Figs. 3 and 4).

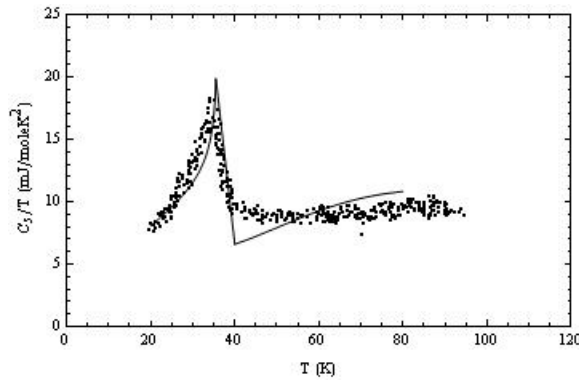


Figure 4. Temperature dependence of the electronic specific heat of LSCO measured near  $T_c$  and above  $T_c$  [10]. The solid line is the calculated curve for comparing with experimental points (black circles).

According to [34],  $C_s(T)$  is calculated by using the parameters  $\varepsilon_F = 0.1$  eV,  $\varepsilon_{FI} = 0.06$  eV,  $f_p = 0.4$ ,  $f_I = 0.6$ , while SC contribution  $C_s(T)$  to  $C_s(T)$  is calculated by using the parameters  $\rho_B = 1.4 \times 10^{19} \text{ cm}^{-3}$ ,  $m_B = 2.7m_p$ ,  $\tilde{\mu}_B(T_c) = 0.5$  meV and  $f_s = 0.012$ .

## Conclusion

We have studied the electronic specific heat properties of underdoped to overdoped high- $T_c$  cuprates and identified the nature of the anomaly in  $C_n(T)$  at a characteristic temperature  $T^*$  (below which opens the BCS-like PG at the Fermi surface) above  $T_c$  and  $\lambda$ -like anomaly in  $C_n(T)$  at the superconducting transition temperature  $T_c$ . The effects of BCS-like pairing PG and impurities on the normal-state electronic specific heat  $C_n(T)$  of these high- $T_c$  cuprate superconductors are studied within a multi-carrier model taking into account the charge carrier inhomogeneity (i.e. the possible disbalance in the distribution of doped charge carriers among two different regions, namely, impurity-free regions or  $\text{CuO}_2$  layers and impurity sites). The relevant charge carriers in doped high- $T_c$  cuprates are large polarons, preformed bosonic Cooper pairs in the  $\text{CuO}_2$  layers (with nonzero thickness) and large extrinsic polarons (i.e. polaronic carriers bound to impurities) lying between the  $\text{CuO}_2$  layers. We have considered the high- $T_c$  cuprate superconductor above  $T^*$  as a two-carrier system and calculated two contributions to the  $C_n(T)$  coming from the intrinsic and extrinsic large polarons within a two-component degenerate Fermi-gas model. We then considered the same high- $T_c$  material below  $T^*$  as a three-carrier system and calculated three contributions to the  $C_n(T)$  coming from the excited Fermi components of Cooper pairs, the ideal Bose-gas of incoherent Cooper pairs and the unpaired charge carriers in the impurity band. We have found that the jump-like anomaly of  $C_n(T)$  near  $T^*$  changes strongly with the increasing of the fraction of charge carriers in the impurity band, from the sharp second-order BCS-type transition to the weakly or less pronounced BCS-type jump. The calculated results for  $C_n(T)$  and  $C_n(T)/T$  above  $T_c$  were compared with the experimental data obtained for  $C_n(T)$  and  $C_n(T)/T$  in LSCO and YBCO. The obtained results are quite similar to those found for LSCO and YBCO, although the amount of the BCS-type anomaly in the

measured temperature dependences of  $C_n(T)$  and  $C_n(T)/T$  is weak due to the experimental noises and large spread of experimental points. The total electronic specific heat  $C_e(T) = C_s(T) + C_n(T)$  near  $T_c$  is calculated by considering the contribution  $C_s(T)$  coming from the superfluid bosonic carriers and the contribution  $C_n(T)$  coming from excited Fermi components of Cooper pairs, the ideal Bose-gas of incoherent Cooper pairs and the unpaired charge carriers in the impurity band. The calculated results for  $C_e(T)$  are in fair quantitative agreement with the experimental data for  $C_e(T)$  in high- $T_c$  cuprates. We have shown that our theoretical predictions of the behaviors of  $C_n(T)$  and  $C_n(T)/T$  below, at and above  $T^*$  are strikingly similar to the behaviors of the electronic specific heat properties observed above  $T_c$  in LSCO and YBCO. Our calculated results for  $C_e(T)$  below  $T_c$  also closely resembles the observed  $\lambda$ -like behavior of  $C_e(T)$ .

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